



## CERTIFICATION

I, Hiroyuki MORI of FUSOH PATENT FIRM, Rindo-building, 37, Kanda - Higashimatsushita - cho, Chiyoda - ku, Tokyo, Japan, hereby certify that I am the translator of the accompanying certified official copy of the patent application No.2000 - 044298 filed in Japan on the 22nd day of February, 2000, and certify that the following is a true and correct translation to the best of my knowledge and belief.

Dated this 24th day of August, 2006



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PATENT OFFICE  
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[TITLE OF THE INVENTION] Method for Modifying Refractive Index  
in Optical Wave-guide Device, Apparatus for Modifying Refractive Index and  
Optical Wave-guide Device

[NUMBER OF CLAIMS] 17

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[NAME OF DOCUMENT]	SPECIFICATION.....1
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[Document Name] Specification

[Title of Invention] Method for Modifying Refractive Index in Optical  
Wave-guide Device, Apparatus for Modifying Refractive Index and Optical  
5 Wave-guide Device

[CLAIMS]

[Claim 1] A method for modifying a refractive index of an optical  
wave-guide device characterized by irradiating ultra short pulse laser rays  
10 having a pulse width not more than 30 pico-seconds to a core section to  
change a refractive index of the core section.

[Claim 2] The method as defined in claim 1, wherein the ultra short pulse  
laser rays have photon energy lower than half of band-gap energy of a  
15 material of the clad section.

[Claim 3] The method as defined in claim 1 or 2, wherein the ultra short  
pulse laser rays having a wavelength not less than 348 nm are focused to at  
least part of the core section during the modification of the refractive index  
20 of the optical wave-guide by the irradiation of the ultra short pulse laser  
rays, thereby changing the refractive index of the core section without  
changing the refractive index of a clad section.

[Claim 4] The method as defined in claim 1 or 2, wherein the ultra short  
25 pulse laser rays are focused to the core section and a peripheral clad section  
thereof during the modification of the refractive index of the optical  
wave-guide by the irradiation of the ultra short pulse laser rays, thereby  
changing the refractive index of the clad section together with the core  
section without changing the refractive index of a clad section.

[Claim 5] The method as defined in any one of claims 1 to 4, wherein during the ultra short pulse laser rays are irradiated along the core section of the wave-guide to modify the refractive index, scanning is conducted at least one time so that a change rate of the refractive index is adjusted by the number of the scannings.

[Claim 6] The method as defined in claim 1, wherein the core section includes a plurality of stacked layers or a three-dimensional structure, and the ultra short pulse laser rays are irradiated to the bottom part of the core section to modify the refractive index thereof without changing the refractive index of the top part of the core section.

[Claim 7] The method as defined in claim 1 or 2, wherein the refractive index of the irradiated part is elevated by increasing a density of the irradiated part by irradiating the ultra short pulse laser rays.

[Claim 8] The method as defined in claim 1 or 2, wherein the refractive index of the irradiated part is reduced by decreasing a density of the irradiated part or producing holes therein by irradiating the ultra short pulse laser rays.

[Claim 9] The method as defined in any one of claims 1 to 8, wherein the optical wave-guide device is thermally treated after the modification of the refractive index.

[Claim 10] An apparatus for modifying a refractive index of an optical wave-guide device comprising:

a stage section for holding and moving the optical wave-guide device in "x", "y" and "z" directions;

a lasing section for emitting laser rays having a pulse width not more

than 30 pico-seconds;

an optical system section for irradiating ultra short laser rays lased in the lasing section on the core section of the optical wave-guide device; and

5 a chamber having the stage section, the laser section and the optical system section therein.

[Claim 11] The apparatus as defined in claim 10, wherein the apparatus includes a function of irradiating ultra short pulse laser rays to the core section of the optical wave-guide device for modifying the refractive index while the rays are guided after an optical fiber is bonded to an input and output surface of an optical wave-guide device for modifying the refractive index, and a function of feed-backing outputs from the optical wave-guide device to irradiation conditions of the laser rays for obtaining the change of the refractive index of the target core section.

15

[Claim 12] The apparatus in which the refractive index of the core section is modified by using the method of modifying the refractive index of the wave-guide device as defined in any one claims 1 to 9, wherein the core section and the clad section of the optical wave-guide device are made of amorphous substance or polymer substance.

20

[Claim 13] The apparatus in which the refractive index of the core section is modified by using the method of modifying the refractive index of the wave-guide device as defined in any one claims 1 to 9, wherein the optical wave-guide device is formed in a glass thin film having a thickness of 100 $\mu$ m or less overlying a silicon substrate.

25

[Claim 14] The apparatus in which the refractive index of the core section is modified by using the method of modifying the refractive index of the wave-guide device as defined in any one claims 1 to 9, wherein the optical

30

wave-guide device includes a plurality of optical wave-guides having an interval of 30  $\mu\text{m}$  or less.

[Claim 15] The apparatus in which the refractive index of the core section is modified by using the method of modifying the refractive index of the wave-guide device as defined in any one claims 1 to 9, wherein the core section and the clad section in the optical wave-guide device is made of glass-based material and the core section includes no  $\text{GeO}_2$ .

[Claim 16] The apparatus characterize by including the core section formed in a tapered shape by using the method of modifying the refractive index of the wave-guide device defined in any one claims 1 to 9.

[Claim 17] The apparatus characterize by including a grating formed by using the method of modifying the refractive index of the wave-guide device defined in any one claims 1 to 9 such that the rays propagating in the core section are diffracted in an arbitrary direction.

#### [Detailed Description of the Invention]

[0001]

#### [Technical Field to Which the Invention Pertains]

The present invention relates to a method for modifying a refractive index of a core section of a wave-guide device, an apparatus for modifying the refractive index of the wave-guide device, and the wave-guide device, and, more in detail, relates to the method for economically modifying the refractive index of the core section of the wave-guide device which is also applicable to those other than the wave-guide device including the core section made of the silica-glass doped with  $\text{GeO}_2$ , the apparatus for modifying the refractive index of the wave-guide device for implementing the method, and the wave-guide device having the core section of which the



refractive index is modified.

[0002]

[Prior Art]

5       An optical wave-guide is configured by a stacked structure including a core section having a relatively higher refractive index and a clad section having a lower refractive index, and the rays are guided in the core section while the rays are totally reflected at the interface between the core section and the clad section. The wave-guide device in this specification refers to a  
10   device having wave-guides.

      The wave-guide having a steep refractive index change at an interface between the core and the clad is referred to as "step-type" and that having a gradual change is referred to as "graded type".

15   [0003]

      The representative step-type embedded wave-guide can be fabricated by formed a film doped with  $\text{GeO}_2$  on a silica-based glass substrate, and making the  $\text{GeO}_2$ -doped film the ridge-shaped by using photolithography and etching.

20       A ridge-shaped wave-guide or an embedded wave-guide having an internal core section is formed by stacking silica glass and silica-based glass containing the  $\text{GeO}_2$  on a substrate.

[0004]

25       In recent years, polymer-based wave-guide using a high molecular weight material is researched, and a planer wave-guide is made by binding two films having different diffractive indices followed by a similar process to that for the glass-based wave-guide, as disclosed in, for example, JP-A-10(1998)-268152.

30       Ions are diffused in glass to increase a refractive index in the diffused

area to form a graded wave-guide therein. For example,  $\text{Na}^+$  in the glass substrate is replaced with  $\text{Ag}^+$  to elevate the refractive index to form the wave-guide.

5 [0005]

JP-A-9(1997)-311237 describes that a wave-guide is directly formed in the glass substrate by focusing, in the glass substrate, pulse laser rays having a wavelength transparent to a glass substrate, a peak power of 105 W/cm<sup>2</sup> or more, and a repetition frequency of 10 kHz or more, followed by  
10 scanning, thereby continuously changing the refractive index of a portion on which the laser beams are focused.

[0006]

In order to increase the telecommunication capacity of the wave-guide  
15 employing the optical wave-guides and the optical fibers, an array wave-guide grating, in addition to an interference filter, a wavelength divider and a directional connector, is developed in which rays having a plurality of wavelengths are guided in a single wave-guide and a specified wavelength is selected.

20 These wave-guide devices require strict control of the refractive index of the wave-guide because the device utilizes the interference and the diffraction of rays. However, in the above-described method, the sufficient control of the refractive index for obtaining target performance of the optical wave-guide device can be hardly performed.

25 In order to satisfy the specification of the target optical wave-guide device, it is proposed that ultraviolet laser rays generated by using an excimer laser are irradiated on a core section in which the rays of the optical wave-guide are transmitted, thereby increasing the refractive index for modification.

[0007]

[Problems to Be Overcome by the Invention]

This method of modifying the refractive index by irradiating the ultraviolet rays is only effective when the core section is formed by the silica glass and the  $\text{GeO}_2$  is doped in the silica glass in the core section so that the application is quite restricted. The reason thereof is the increase of refractive index due to the formation of a  $\text{GeE}'$  center related with Ge ion in the glass [Niishi and Nomura, Oyoo Butsuri (Applied Physics) vol.68, pp.1140 to 1143, 1999].

10

[0008]

The method for modifying the refractive index of the silica glass wave-guide doped with the  $\text{GeO}_2$  by using the ultraviolet laser rays includes several problems listed below in addition to the above problem.

15

A first problem is that a longer period of time is necessary for changing the refractive index. Even if an ArF excimer laser (wavelength: 193 nm, pulse energy: 60 mJ, and repetition frequency: 100 Hz) generating ultraviolet rays having higher output is used, about 20 minutes are required for changing the refractive index by 0.001.

20

[0009]

A second problem is that the refractive index can be changed by about 0.001 at maximum even if the ultraviolet laser rays are irradiated for a longer period of time. Or, an amount of refractive index change is saturated at a specified amount.

25

Accordingly, the modification of the refractive index to a larger extent is quite difficult by means of the irradiation of the ultraviolet rays.

[0010]

30

A third problem is that electrons generated by the ultraviolet rays

accompanied by the change of the refractive index is trapped in a defect related with the Ge, and are released from the trap, and the released electrons gradually allow the modified refractive index to return to its original one when the optical wave-guide device after the modification is  
5 heated.

In other words, the part having the modified refractive index is thermally unstable, and the optical wave-guide device after the modification of the refractive index cannot be subjected to a higher temperature process, or the reliability to temperature is low.

10

[0011]

A fourth problem is that the doping of the glass with the  $\text{GeO}_2$  is indispensable for changing the refractive index.

When the ultraviolet rays are irradiated to the optical wave-guide  
15 device made of glass containing no  $\text{GeO}_2$ , the modification of the refractive index cannot be achieved.

[0012]

A fifth problem is that when the excimer laser is used for the  
20 ultraviolet laser source, due to the inferior focusing ability of the excimer laser rays, beams cannot be focused to a width about between 5 and  $10\mu\text{m}$  equal to the width of the core section of the wave-guide in which the refractive index is desirably modified.

Accordingly, a mask is necessary for exposing the portion in which the  
25 modification is required. However, when the wave-guide interval of the optical wave-guide device is narrower than  $30\mu\text{m}$ , the individual modification for each of the optical wave-guides is quite difficult even by using the mask.

30 [0013]

A sixth problem is that when the excimer laser is used for the ultraviolet laser source, a running cost for changing gas for emitting laser rays is high, and the apparatus is expensive and bulky to occupy a larger area.

5        Although the fourth higher harmonic having a wavelength of 266 nm in the rays having a wavelength of 1064 nm emitted from the Nd:YAG laser is possibly used as the ultraviolet laser source for modifying the refractive index in place of the excimer laser, the possibility of generating the GeE' for changing the refractive index is quite small at 266 nm. If the laser rays are  
10      focused on the core section, the change of the refractive index requires a longer period of time, thereby providing no or little practical value.

[0014]

15        A seventh problem is that an effective means for modifying the refractive index of the wave-guide other than that of silica glass doped with the GeO<sub>2</sub>, is not established.

[0015]

20        In view of the foregoing, an object of the present invention is to provide a method for modifying, with higher accuracy, refractive index of a core section of an optical wave-guide device to improve the device characteristics thereof including long-term reliability, an apparatus for modifying a refractive index and a wave-guide device with high performance having a modified refractive index.

25

[0016]

[Means for Solving the Problems]

The present inventors have conducted various experiments to find out the followings.

30        When the ultra short pulse laser rays having the pulse width of 30

pico-seconds or less are irradiated to the region including the core section of the optical wave-guide device formed in accordance with the conventional technique, multi-photon absorption occurs due to the higher energy density, and the optical energy is at first absorbed by electrons. Thereafter, the thermal energy moves from the hot electrons to gratings to heat the material.

When the pulse width is 30 pico-seconds or less, the irradiation of the pulse finishes in almost all the materials before all the energy of the hot electrons moves to the gratings or immediately after the completion of the movement. Accordingly, the electron temperature and the grating temperature are not equilibrated with each other. In this case, the diffusion of the energy of the laser rays to outside of the focused portion is suppressed, and the laser rays locally heat the focused portion. The grating used herein refers to a bonding between atoms and molecules constituting a substance.

[0017]

When the energy density of the rays locally absorbed in the portion exceeds the limit for degenerating the materials forming the core material, the bonding states among the atoms and the molecules constituting the core material are changed to take place evaporation, melting, degeneration and thermal expansion, resulting in the rapid increase of the inner pressure of the localized portion.

Thereafter, the core material is cooled, and when the structure is rearranged, the density of the structure is higher than that before the irradiation. The higher density increases the refractive index.

Although the density of the periphery of the higher density portion is lowered, the change of the refractive index is quite small because the surface area of the periphery is large. Practically, the consideration on the lower density on the periphery of the higher density is unnecessary.

[0018]

When the power density of the on the focused portion largely exceeds the abrasion threshold of the core material, the material existing on the central part of the focused portion enters under the surrounding material to  
 5 reduce the density of the central part. The central part is depleted when the energy further increases.

The refractive index is lowered when the density is reduced, and when depleted, the refractive index is 1.0. Although the density of a specified part around the lower density part or the depleted part increases, the change of  
 10 the refractive index is quite small and neglectable because the surface area of the part is large.

[0019]

The amount of the change of the refractive index of the higher density  
 15 portion or the lower density portion can be controlled by the optical energy of the pulse laser rays, the pulse repetition frequency, the irradiation time, the number of the pulses and the scanning speed.

The degeneration threshold of the core section of the optical wave-guide with respect to the power density of the laser rays depends on  
 20 the kind of the core material configuring the core section.

[0020]

When the wavelength of the ultra short pulse laser rays is shorter than the shorter-side absorption end of the core section and the clad section,  
 25 one-photon absorption takes place. As a result, the optical energy is absorbed into the entire region to which the laser rays are irradiated and the energy cannot be concentrated and absorbed near the focus of the rays.

However, when the wavelength of the ultra short pulse laser rays is longer than the shorter-side absorption end of the core section and the clad  
 30 section, the laser rays are not absorbed into the core section and the clad

section in the one-photon process so that the energy absorption takes place in accordance with the multi-photon process only on a position where the high energy density is generated by the focusing of the laser rays.

## 5 [0021]

When the two-photon absorption and the three-photon absorption are compared with each other, the two-photon absorption more easily takes place even if the energy density is low.

10 If the region where the three-photon absorption takes place is assumed to be a spherical region having a beam diameter similar to that of the focus having the highest energy density (near focused point), the two-photon absorption also takes place in a region separated from the three-photon absorption region by 10 times or more of the beam diameter.

15 Accordingly, even if the rays are focused on the core section in case of the two-photon absorption, the rays are also absorbed into the clad section around the core section so that the refractive index around the core section changes with higher probability.

In the process of absorption using three or more photons, the energy is easily absorbed into only the vicinity of the focus. Accordingly, when the rays  
20 are focused onto the core section, the refractive index of only the vicinity of the focus can be changed.

## [0022]

25 When the energy of the laser rays having a pulse width of 30 pico-seconds is half or less of the band-gap energy of the clad section, the energy does not exceed the band-gap energy in the two-photon absorption so that the refractive index is changed by the three-photon absorption. In this case, the refractive index of a small region in the vicinity of the focus can be changed.

30 When the beams having the Gaussian-like strength profile are used as



the pulse laser rays, the beams are focused to an extent the diffraction of the rays occurs, and the portion in which the refractive index is changed may be lower than the diffraction limit. Accordingly, when the wave-guide interval is 30 $\mu$ m or less, each of the wave-guides can be modified individually.

5

[0023]

In order to achieve the above object, based on the above, knowledge, a method of modifying a refractive index of a wave-guide device of the present invention is characterized by irradiating ultra short pulse laser rays having  
10 a pulse width not more than 30 pico-seconds to a core section to change a refractive index of the core section.

[0024]

A probability of the refractive index change in the portion where the  
15 refractive index is changed in accordance with the present invention may exist, depending on the material of the wave-guide core, caused by the influence of the color center similarly to the case where the ultraviolet rays are irradiated. However, the influence of the color center on the refractive index change can be removed by thermally treating the device to return the  
20 electrons trapped in the color center to the valence band even if the color center remains.

Only the refractive index change remains modified by the higher density, the lower density and the depletion. Accordingly, the thermal treatment of the optical wave-guide device modified in accordance with the  
25 present invention improves the reliability thereof.

[0025]

An apparatus for modifying a refractive index of an optical wave-guide device of the present invention for implementing the method of the present  
30 invention comprises a stage section for holding and moving the optical

wave-guide device in "x", "y" and "z" directions, a lasing section for emitting laser rays having a pulse width not more than 30 pico-seconds, an optical system section for irradiating ultra short laser rays lased in the lasing section on the core section of the optical wave-guide device, and a chamber having the stage section, the laser section and the optical system section therein.

Since the lasing section, the optical system section and the stage section are fixed in the chamber in the apparatus for modifying the refractive index in accordance with the present invention, the ultra short pulse laser rays can be irradiated on the specified core section of the wave-guide device without being effected by external vibration.

[0026]

In the suitable embodiment of the apparatus for modifying the refractive index in accordance with the present invention, the refractive index is modified by introducing the signal rays from optical fibers bonded to input and output end surfaces of the wave-guide device for modifying the refractive index, measuring the output value of the signal rays output from the wave-guide device for the feedback to the lasing section, controlling the refractive index change of the core section by adjusting the irradiation conditions of the ultra short pulse laser rays by a controlling section, and irradiating the ultra short pulse laser rays to the core section of the wave-guide path while transmitting the signal rays in the wave-guide device for modifying the refractive index.

The change of the amount of the refractive index can be strictly adjusted to the specified value by binding the optical fibers to the optical wave-guide device in advance, modifying the refractive index while monitoring the device characteristics under the transmission of the rays, and feeding back the detected deviation from the optimum value to the irradiation conditions of the pulse laser rays.

[0027]

The material which can modify the refractive index by using the higher density, the lower density and the depletion without generating the cracks or deficiencies on the focused portion of the ultra short pulse laser rays having the pulse width of 30 pico-seconds or less includes a glass-like amorphous substance and an organic polymer.

[0028]

The glass modified to have the higher density, the lower density and the depletion stably exists up to its glass transition point. The silica glass ( $\text{SiO}_2$ ) having a softening point of 1500 °C, and the refractive index thereof is not changed in a temperature range between 0 and 100 °C, an ordinary circumstance using the optical wave-guide device, thereby improving the reliability of the device to the temperature change.

In case of the optical wave-guide made of the polymer material, the refractive index thereof changes by the polymerization degree by the irradiation of the pulse rays and the changes of its structure and composition. The stability of the refractive index to the temperature depends on the composition and the degree of the polymerization of the polymer. A polyimide-based material is stable up to about 200 °C.

A semiconductive material having an amorphous state other than crystal may be used as the core material of the present invention.

[0029]

The core diameter of the optical fiber in the single mode is determined by the difference of the refractive indices between the optical fiber and the clad, and the diameter thereof currently used is about 7 to 10 $\mu\text{m}$ .

The bonding between the optical fibers and the optical wave-guide device can be conducted without loss by adjusting the core diameter of the

optical wave-guide similar to the diameter of the optical fiber. However, the reduced diameter may be preferable for integrating the optical wave-guide devices or for suppressing the transmission loss.

The input and output of the optical fibers can be bonded to the core section of the device narrower than the core diameter of the fiber, by increasing the refractive index of the clad section including the cores of the input and output end surfaces of the optical wave-guide device by using the ultra short pulse laser for forming the tapered cores on the input and output end surfaces.

[0030]

The core of the optical wave-guide is depleted by using the ultra short pulse laser, then the refractive index is 1.0, thereby increasing the difference of the refractive indices between the core and the fiber. The regular arrangement of the depleted portions or the holes in the core can form the grating having an excellent diffraction efficiency.

The shape of the hole may be spherical or oval, and by adjusting the irradiation conditions, the bar shaped hole may be possible. The adjustment of the shape and the arrangement may control the wavelength and the direction of the diffracting rays.

[0031]

Based on the above knowledge, the wave-guide device of the present invention is the wave-guide device in which the core section and the clad section thereof are made of amorphous substance or polymer substance; the wave-guide device which is formed in a glass thin film having a thickness of 100 $\mu$ m or less overlying a silicon substrate; the wave-guide device which includes a plurality of optical wave-guides having an interval of 30  $\mu$ m or less; the wave-guide device in which the core section and the clad section therein is made of glass-based material and the core section includes no

GeO<sub>2</sub>; the wave-guide device which includes the core section formed in a tapered shape; and the wave-guide device in which the rays propagating in the core section are diffracted in an arbitrary direction.

5 [0032]

[Embodiments of Implementing the Invention]

Now, the present invention is more specifically described with reference to Examples and Embodiments while referring to accompanying drawings.

10

Embodiment of Method for Modifying Refractive Index

The present Embodiment is an example of modifying a refractive index of an optical wave-guide device of the present invention.

15 In the present Embodiment, the refractive index of a core section doped with GeO<sub>2</sub> in a clad portion on a silica glass substrate of an embedded optical wave-guide device is modified. While an ultra short pulse laser ray having a pulse width of 30 pico-seconds or less and emitted from a Ti-sapphire laser is focused on the core section, the scanning is conducted along the core section by moving the substrate.

20 Thereby, the refractive index of the core section is accurately modified in a short period of time to form the core section having the higher refractive index and the higher thermal stability.

[0033]

25 Example 1

As shown in Fig.1, an embedded optical wave-guide device includes a core section 2 of an optical wave-guide doped with GeO<sub>2</sub> in a clad portion on a silica glass substrate 1.

30 The refractive index “n” of the core section of the optical wave-guide device is 1.474, and the geometric length of the optical wave-guide “l” is 30

mm.

Ultra short pulse laser rays 3 having a pulse width of 150 femto-seconds, pulse energy of 0.1μJ, a pulse repetition frequency of 200 kHz and a wavelength of 800 nm emitted from a Ti-sapphire laser were focused on the core section 2 with a spot diameter of 7μm similar to a width of a core section of an optical wave-guide by using an objective lens 4, and the scanning was conducted along the core section 2 by moving the substrate for the geometric length “Δl” at a rate of 1mm/s., thereby modifying the refractive index.

[0034]

The length “L” of the optical path after the modification of the refractive index is a sum between a product of the refractive index “n” and of the geometric length “Δl” and a product of a changing rate of the refractive index “Δn” and of the geometric length “Δl”, and is expressed by the following equation 1, wherein Δn is a changing rate of a refractive index modified section 5 or of the refractive index of the geometric length “Δl”, L<sub>0</sub> is an optical path length before the modification, and ΔL is an optical path changed by the modification.

$$\begin{aligned} L &= n(l - \Delta l) + (n + \Delta n) \Delta l \\ &= n l + \Delta n \Delta l \\ &= L_0 + \Delta L \end{aligned} \quad (1)$$

[0035]

After a length of 1 mm, as Δl, was scanned by using laser rays, the optical path length “L” was changed from 44.220 mm to 44.222 mm. The scanning by laser rays for the optical length path (Δl) of 2 mm changed the optical path length to 44.224 mm. The increase of every 1 mm of the scanning distance or Δl increased the optical path length by 0.002 mm.

The substitution of the experimental results for the equation (1)

revealed that  $\Delta n$  was 0.002 when the modification was conducted at a scanning rate of 1 mm/s under the pulse lasing optical conditions of Example 1 and the change of the refractive index per unit length of the scanning was 0.002/mm.

5        The change of the refractive index per unit length of the scanning was 0.001/mm under the same conditions except that the pulse repetition frequency was 100 kHz.

After the optical wave-guide device having the modified refractive index was heated to 300°C, and maintained for 24 hours, the wave-guide  
10 device was cooled to ambient temperature, the optical path length was again measured to be the same as that before the thermal treatment. The thermal treatment did not change the modified refractive index.

[0036]

15        The energy density at the threshold value for changing the refractive index of the core section in the glass by irradiating the laser rays depended on the pulse width, the repetition frequency and the wavelength of the pulse of the laser rays. The experiment of modifying the refractive index was conducted by changing these three parameters similarly to the experiment  
20 shown in Fig.1.

As a result, the amount of the refractive index per unit scanning distance was changed by optimizing the energy density of the pulse by establishing the pulse width, the wavelength and the pulse repetition to be 30 pico-seconds or less, 349 nm or more and 10 kHz or more, respectively.  
25 The refractive index of the core section could be modified similarly to the case related with Fig.1.

Although the minimum pulse width which was confirmed to modify the refractive index was 50 femto-seconds, the modification was considered to be possible at the pulse lower than 50 femto-seconds. Although the maximum  
30 wavelength and pulse repetition which were confirmed to modify the

refractive index were 1550 nm and 200 MHz, respectively, the modification was considered to be possible at the wavelength larger than 1550 nm and at the pulse repetition larger than 200 MHz.

5 [0037]

Comparative Example 1

For comparison with Example 1, an irradiation experiment was conducted using a pulse width of 50 pico-seconds different from that of Example 1 and using pulse energy of 0.1  $\mu$ J, a pulse repetition frequency of 10 200 kHz and a wavelength of 800 nm substantially same as those of Example 1. The experiment revealed that the optical path length of the optical wave-guide device was not changed, and the refractive index of the irradiated core section was not changed.

Then, the pulse energy of the laser rays was gradually increased from 15 0.1  $\mu$ J. When the pulse energy reached to 0.7  $\mu$ J, the irradiated core section was dielectrically destroyed before the modification of the refractive index.

[0038]

Then, experiments for modifying the refractive index were conducted 20 similarly to Example 1 while each of the four parameters including the pulse width of the laser rays, the repetition frequency of the pulse, the wavelength and the lasing energy was changed.

When the pulse length was longer than 30 pico-seconds, the core section was dielectrically destroyed before the modification of the refractive 25 index whatever hard the other parameters were optimized. The dielectric destruction refers to destruction in which cracks are generated in a random direction due to the effect of heat.

The refractive index of the core section could be modified by irradiating the ultra short pulse laser rays having the pulse width of 30 pico-seconds or 30 less, and could not be modified by using the ultra short pulse laser rays



having the pulse width over 30 pico-seconds based on the results of Example 1 and Comparative Example 1.

[0039]

5 Example 2

Example 2 is a concrete example of the method of modification described in claim 2. Fig.2 is an absorption spectrum showing band-gap energy of the silica glass forming the clad section and of the silica glass doped with the  $\text{GeO}_2$  forming the core section of the optical wave-guide device of Example 1.

As shown therein, the band-gap energies of the non-doped silica glass 6 and of the silica glass 7 doped with the  $\text{GeO}_2$  were 7.55 eV (band-gap wavelength of 165 nm) and 7.13 eV (band-gap wavelength of 175 nm), respectively. The silica glass included a defective band 8 near the band-gap energy of 5 eV (250nm).

[0040]

The wavelength of laser rays used in Example 1 was 800 nm and the photon energy was 1.55 eV. As shown in Fig.2, the absorption of the rays did not occur in the two-photon process from a valence band 9 while the absorption occurred in the three-photon process because the rays reached to the defective band.

Since the three-photon process occurred only on the portions where the higher energy density was obtained by focusing the ultra short pulse laser rays, the refractive index was changed only in spherical or oval regions having a focal point with the highest energy density and having a diameter near the beam width or only in the vicinity of the focal point.

[0041]

30 An experiment of modifying the refractive index was conducted

similarly to Example 1 except that the laser rays emitted by using a non-linear optical element and having the wavelength of 400 nm and the pulse energy of 0.1 $\mu$ J which were the second harmonic of the ultra short pulse laser rays having the wavelength of 800 nm used in Example 1 were  
5 used.

As shown in Fig.2, the photon energy of the laser rays having the wavelength of 400 nm was 3.11 eV, which reached to 7.55 eV the band-gap energy of the silica glass in the three-photon absorption and which also reached to 5 eV the band-gap energy of the defective band 8 in the  
10 two-photon absorption. Accordingly, the absorption of the rays occurred.

According to the experimental results, since the three-photon absorption and the two-photon absorption occurred only on the vicinity of the focal point of the laser rays similarly to the experiment for the rays having the wavelength of 800 nm, the refractive index of only the portion on  
15 the vicinity of the focal point was changed.

The laser rays having the wavelength of 400 nm were more easily absorbed than those having the wavelength of 800 nm, and the change of the refractive index per unit scanning distance was 0.003/mm which was about 1.5 times that for the rays of 800 nm.

20

[0042]

The Ti:sapphire laser emitting the pulses of 150 femto-seconds can lase laser rays having a wavelength between 700 and 1000 nm, and can provide the laser rays between 233 and 500 nm by using the second and third  
25 harmonics.

The wavelength of the laser rays were gradually shifted to the shorter wavelength side under conditions substantially same as those of Example 1. The laser rays having the energy of 3.56 eV or less (349 nm or more) which was half the band-gap energy of the clad section could change the refractive  
30 index. The energy of the above laser rays did not exceed 7.55 eV which was

the band-gap energy of the silica glass even if the two-photon absorption occurred. The energy of the laser rays could be absorbed in the vicinity of the focal point by means of the three-photon absorption or the two-photon absorption to the defective band, thereby performing the above change of the refractive index.

[0043]

#### Comparative Example 2

A method of Comparative Example 2 was conducted for comparing the results thereof with those of Example 2. A third harmonic having a wavelength of 800 nm was obtained by mixing the ultra short pulse laser rays having the wavelength of 800 nm used in Example 1 and laser rays having the wavelength of 400 nm which was a second harmonic. A similar experiment to that of Example 1 was conducted by establishing the pulse energy at 0.1  $\mu$ J and using ultra short pulse laser rays having a wavelength of 266 nm and a pulse width of 150 femto-seconds.

As shown in Fig.2, the photon energy of the rays having the wavelength of 266 nm was 4.68 eV, and exceeded the band-gap 6 of the silica glass in the two-photon absorption. Further, the absorption was observed in the defective band even in the one-photon absorption. Accordingly, the energy was absorbed in all the optical paths of the optical wave-guide device, and the change of the refractive index only of the vicinity of the focal point could not be attained.

[0044]

Then, the pulse energy of the laser rays was increased. When the pulse energy reached to 1.0 $\mu$ J, the focus portion was dielectrically destroyed. A similar experiment was conducted by using rays having the wavelength of 200 nm which was a second harmonic of the rays having the wavelength of 400 nm. Thereby, as shown in Fig.2, the photon energy was 6.23 eV. Since

the photon energy exceeded the absorption by the defective band in the one-photon absorption and the band-gap energy in the two-photon absorption, the rays were absorbed in all the optical paths. Accordingly, similar to the case using the rays of 266 nm, the change of the refractive index of only the vicinity of the focal point could not be attained.

Based on the results of Example 2 and Comparative Example 2, the wavelength of the ultra short pulse laser rays for modifying the refractive index is required to be 349 nm or more.

[0045]

### Example 3

Example 3 is a concrete example of the method of modification described in claim 3. Fig.3 is a sectional view showing an optical wave-guide device including a core section 2 formed by doping a clad section of a silica glass substrate 1 with  $\text{GeO}_2$ . The section of the core is  $7\mu\text{m}$  square. An optical path 10 for focused ultra short pulse laser rays and a portion 5 of which a refractive index is modified are shown in the left-hand side of Fig.3.

The portion of which a refractive index was modified in accordance with ultra short pulse laser rays having a wavelength of 800 nm focused by using an objective lens of 50 magnifications was only a core section, and a refractive index of a clad section was unchanged.

[0046]

The refractive index of only part of the core section could be changed, as shown in the central part of Fig.3, by using ultra short pulse laser rays having a wavelength of 800 nm focused by using an objective lens of 100 magnifications. The permeation loss of the guided rays coming from the wave-guide was within 1 %, and the change of the refractive index per unit scanning distance was 0.0010/mm. These were about half the permeation loss and the refractive index change when entirely modified.

Similar experiments conducted by changing wavelengths revealed that, in the wavelength range between 355 and 1000 nm, the refractive index of at least part of the core section could be changed by adjusting the pulse energy density of the laser rays between the threshold value for changing the refractive index of the glass at the respective wavelengths and the threshold value for generating the dielectric destruction.

[0047]

#### Comparative Example 3

A method of Comparative Example 3 was conducted for comparing the results thereof with those of Example 3. An experiment similar to Example 3 was conducted by using ultra short pulse laser rays having a wavelength of 266 nm focused by using an objective lens of 50 magnifications.

As shown in the right-hand side of Fig.3, since the laser rays were absorbed in the entire optical paths of the ultra short pulse laser rays permeating the optical wave-guide device and the energy could not be concentrated to the focused portion, the refractive index of the core section could not be changed.

Similar experiments conducted by changing wavelengths revealed that, in the wavelength range between 190 and 355 nm, the refractive index could not be changed similarly to the case using the laser rays having the wavelength of 266 nm because the rays were absorbed into the entire optical paths.

Based on the results of Example 3 and Comparative Example 3, the wavelength of the ultra short pulse laser rays for modifying the refractive index of at least part of the core section is required to be 349 nm or more.

[0048]

#### Example 4

Example 4 is a concrete example of the method of modification described in claim 4. Fig.4 is a sectional view showing an optical wave-guide device including a core section 2 formed by doping a clad section of a silica glass substrate 1 with GeO<sub>2</sub>. The section of the core is 7μm square.

5        The modification of the refractive index was attempted under conditions substantially same as those of Example 1. The region modified by using ultra short pulse laser rays 25 having a wavelength of 800 nm focused by using an objective lens of 20 magnifications was an oval region having a length of 15μm and a width of 10μm and including the vicinity of the core  
10    section.

      The permeation loss of the guided rays coming from the modified wave-guide including the peripheral part of the core section was within 2 %, and the change of the refractive index per unit scanning distance was 0.002/mm. These were similar to those when only the core section was  
15    modified.

[0049]

#### Comparative Example 4

      A method of Comparative Example 4 was conducted for comparing the  
20    results thereof with those of Example 4. An experiment similar to Example 4 was conducted by using an objective lens of 10 magnifications. The modified region was an oval region having a length of 30μm and a width of 10μm, and the permeation loss after the modification was increased by 5 % or more compared with that before the modification.

25        It is estimated that the increase of the permeation loss of the guided rays in the Comparative Example 4 be caused by the increase of the oval size due to the increase of an incident angle of the laser rays because the objective lens of 10 magnifications was used.

30    [0050]

### Example 5

Example 5 is a concrete example of the method of modification described in claim 5. Fig.5 is a graph showing an influence exerted on the change of the optical path length by the scanning distance and the number of the scanings when the modification of the refractive index was conducted under the conditions of Example 1.

In Example 1, the refractive index was modified by adjusting the change of the refractive index by means of the scanning distance conducted by one scanning of the laser rays along the optical wave-guide.

On the other hand, the present Example revealed that the refractive index could be further elevated by twice scanning along the same portion. The refractive index was increased with the number of the scanings, and the change of the refractive index was saturated after the four scanings.

As shown in Fig.5, the optical path length of the optical wave-guide could be strictly controlled by adjusting the scanning distance and the number of the scanings.

[0051]

### Comparative Example 5

A method of Comparative Example 5 was conducted for comparing the results thereof with those of Example 5. In the present Comparative Example, the laser rays were continuously irradiated to the region having the diameter about 7 $\mu$ m without scanning the laser rays, and the refractive index of the core section having the 7 $\mu$ m square was slightly changed. The continuous irradiation changed the refractive index up to 0.005, and did not further changed the refractive index.

In this case, the changed optical path length " $\Delta L$ " was as follows.

$$\Delta L = \Delta n \times \Delta l = 0.005 \times 0.01 = 0.00005 \text{ mm}$$

This amount was insufficient to modify the optical path length of the optical wave-guide device used in Example.

The present Comparative Example revealed that when the refractive index was modified, the scanning of the laser rays enabled the larger change of the optical path length, and the amount of the optical path length change could be slightly adjusted by changing the number of the number of the  
5 scannings.

[0052]

#### Example 6

Example 6 is a concrete example of the method of modification  
10 described in claim 6. Fig.6 is a sectional view showing an optical wave-guide device 16 having three optical wave-guide layers stacked with one another.

A clad section included a silica glass substrate 1, and a core section 2 included a silica glass substrate doped with  $\text{GeO}_2$  in an optical wave-guide of the present Example. The cross section of the core section was  $7 \times 7 \mu\text{m}$  square, and the space between the adjacent wave-guides was  $20\mu\text{m}$ .  
15

The distance of 1 mm was scanned at the scanning speed of 1 mm/s along the core section using, as a ray-collecting lens, an objective lens of 50 magnifications under the conditions of Example 1 after the focal point of ultra short pulse laser rays 3 having a pulse width of 150 femto-seconds was  
20 focused to the core section of the lowest layer.

The refractive indices of the core section of the first and second layers through which the laser rays permeated were unchanged while the refractive index of the core section of the third layer through which the laser rays permeated could be modified similarly to Example 1.  
25

[0053]

#### Comparative Example 6

A method of Comparative Example 6 was conducted for comparing the results thereof with those of Example 6. An experiment similar to Example  
30 6 was conducted by using an objective lens of 20 magnifications. The



modified region was enlarged having a height of 20 $\mu$ m in a vertical direction, and the refractive indices of the core section of the top portion and of the clad section between the core sections to become the silica glass were also changed in addition to the core section of the bottom layer.

It is estimated in Comparative Example 6 that the incident angle of the laser rays was increased by the used of the objective lens of 20 magnifications to provide the results different from those of Example 6.

[0054]

#### Example 7

Example 7 is a concrete example of the method of modification described in claim 7. Fig.7 is a schematic top plan view of an optical wave-guide showing the core section of the optical wave-guide in which the refractive index was changed because of a higher density.

The Raman spectrum of the core section of which the refractive index was modified in Example 1 was measured by microscope analysis. Then, the shift of a peak appeared which corresponded to when the density of the silica glass was made higher by 3 %.

The results indicated that the change of the refractive index occurred with the density changed to the higher region of the silica glass. In Fig.7, the core section 17 of the optical wave-guide having the changed refractive index due to the the density changed to the higher region.

[0055]

#### Comparative Example 7

A method of Comparative Example 7 was conducted for comparing the results thereof with those of Example 7. An experiment was conducted by irradiating, to the core section of the optical wave-guide, laser rays having a pulse width of 100 pico-seconds in place of 150 femto-seconds in Example 1.

The Raman spectra of the irradiated portion before and after the irradiation

were measured. The position of the peak showing the bonding distance between Si and O constituting the glass was unchanged.

The change of the density of the core section depended on the pulse length of the ultra short pulse laser.

5

[0056]

#### Example 8

Example 8 is a concrete example of the method of modification described in claim 8. Fig.8 is a schematic view showing the core section  
10 having the spherical hole and the core section having the oval hole in the left-hand side and the right-hand side, respectively.

In the present Example, in order to modify the refractive index of the optical wave-guide device of Example 1, laser rays having a pulse width of 150 femto-seconds, pulse energy of 0.5 $\mu$ J, a pulse repetition frequency of 1  
15 kHz and a wavelength of 400 nm emitted from a Ti-sapphire laser were used.

The laser rays were irradiated at a time without being scanned such that the focal point was centered on the core section of the optical wave-guide by collecting the rays 18 by using an objective lens of 100  
20 magnifications.

As a result, as shown in Fig.8, the spherical hole 19 having a diameter of 300 nm was formed in the core.

[0057]

25 A similar experiment was conducted by using ultra short pulse laser rays 20 having a wavelength of 400 nm focused by an objective lens of 20 magnifications. As a result, the oval hole 21 having a width of 250 nm and a length of 7 $\mu$ m just penetrating the core section.

After the specimen was polished to the portion where the refractive  
30 index was modified, the surface thereof was observed with an inter-atomic

microscope. The modified portion was hollow, and the refractive index of the modified portion was 1.0

The permeation loss of the optical wave-length after the modification was scarcely changed from that before the modification.

5

[0058]

The energy densities of the threshold value for forming the holes in the core section of the optical wave-guide by irradiating the laser rays were changed by the pulse width or the wavelength. An experiment for modifying the refractive index was conducted similarly to that shown in Fig.8 by changing the two parameters.

10

As a result, the hole could be formed in the core section similarly to the case of Fig.8 by establishing the pulse width of 30 pico-seconds or less and the wavelength of 349 nm or more, thereby optimizing the energy density of the pulse.

15

The pulse width in the experiment was 50 femto-seconds at the lowest, and the pulse shorter than that could modify the refractive index.

20

The wavelength in the experiment was 1550 nm at the longest, and the wavelength longer than that could modify the refractive index. The pulse repetition in the experiment was all 1 kHz, and the shutter could be hardly established for taking out a single pulse in the rapider repetition. A single pulse could be easily taken out at the pulse repetition of 1 kHz or less.

[0059]

## 25 Comparative Example 8

A method of Comparative Example 8 was conducted for comparing the results thereof with those of Example 8. An experiment was conducted by establishing the pulse energy at 1. 5μJ while using an objective lens of 100 magnifications the same as Example 8. Several spherical holes were successively formed including in the clad section before the focused region of

30

the laser rays. The permeation loss of the optical wave-guide after the modification of the refractive index was reduced by 2 %.

Then, an experiment for modifying the refractive index was conducted similarly to Example 1 by changing the three parameters including the pulse width, the wavelength and the laser energy. In case of the pulse width of 30 pico-seconds or more, the dielectric destruction took place before the formation of the hole even if the other parameters were optimized.

The comparison between the Example 8 and Comparative Example 8 revealed that the pulse energy between 0.25 and 1.5  $\mu\text{J}$  was necessary for modifying the refractive index by forming the holes under the conditions of the pulse width of 150 femto-seconds, the pulse repetition frequency of 1 kHz and the wavelength of 400 nm.

In case of the laser rays having the pulse width of 30 pico-seconds or more, the holes could be formed even if the other parameters were optimized.

[0060]

#### Example 9

Example 9 is a concrete example of the method of modification described in claim 9. Fig.9 is a band-gap diagram for illustrating a defective band.

A defection was generated in the vicinity of a hole when ultra short pulse laser rays focused by using an objective lens of 100 magnifications similarly to Example 8 were irradiated to a core section of an optical wave-guide prepared by doping silica glass with  $\text{GeO}_2$ , and the result of the spectrum measurement revealed that, as shown in Fig.9, a further defective band 23 was generated in the band-gap 22 of the core material.

A free electron 25 generated by three photon absorption 24 of rays having a wavelength of 400 nm was trapped in the defective band 23, and the trapped electron 26 took place the change of the refractive index.

[0061]

However, after the change of the refractive index, when the optical wave-guide device was heated for one hour at 200 °C, the trapped electron  
5 was relaxed to a valence band. A relaxed electron 37 was shown in Fig.9.

The refractive index change by color center disappeared by the thermal treatment, and only the refractive index due to the density change of the core material remained. The optical wave-guide device after the thermal treatment had the excellent reliability to temperature change, and the  
10 device characteristics did not change in a temperature range between 0 and 100 °C.

[0062]

15 Comparative Example 9

A method of Comparative Example 9 was conducted for comparing the results thereof with those of Example 9. An experiment was conducted under the same conditions as those of Example 9 except that the device was maintained at temperature of 80 °C or more for evaluating reliability to  
20 temperature change of a device treated with no heat, and the optical path length was changed. It was estimated that the electron thermally trapped in the defective band was relaxed, thereby changing the refractive index.

The comparison between the Example 9 and Comparative Example 9 revealed that the reliability to the higher temperature use of the optical  
25 wave-guide device was improved by thermally treating the optical wave-guide device having the modified refractive index. The preferable thermal treatment conditions includes a temperature between 200 and 800 °C , and a period of time between 30 minutes and one hour.

30 [0063]

### Embodiment of Apparatus for Modifying Refractive Index

Now, the apparatus for modifying the refractive index is more specifically described with reference to Examples while referring to accompanying drawings.

#### 5 Example 10

Example 10 is a concrete example of the apparatus for modifying the refractive index described in claim 10. Fig.10 is a schematic view showing configuration of the apparatus for modifying the refractive index of the present invention.

10 The apparatus 33 for modifying the refractive index includes, as shown in Fig.10, a movable stage section 29 for holding and moving an optical wave-guide device 28 in X-axis, Y-axis and Z-axis directions, a pulse laser apparatus section 30 irradiating pulse rays having a pulse width of 30 pico-seconds or less, a ray-gathering section 31 for guiding the laser rays  
15 from the pulse laser apparatus section 30 to the core section of the optical wave-guide device 28 and irradiating the core section, and a chamber 32 for mounting the movable stage section 29, the pulse laser apparatus section 30, and the ray-gathering section 31.

20 [0064]

The movable stage section 29 moves in each of the X-axis, the Y-axis and the Z-axis directions at a maximum speed of 100 mm/s and positioned the optical wave-guide device at an error of  $\pm 0.1\mu\text{m}$ . The optical system including the pulse laser apparatus section 30 and the ray-gathering section  
25 31 enables the gathering and the irradiation of the ultra short pulse laser rays required for conducting the method of the modification described in claims 1 to 9.

Since the apparatus 33 for modifying the refractive index mounts and fixes the movable stage section 29, the pulse laser apparatus section 30, and  
30 the ray-gathering section 31 in the single chamber 32, the external vibration

hardly affects the interior of the chamber 3142, and the laser beams can be precisely scanned along the core section of the target optical wave-guide.

The ray propagation loss of the optical wave-guide after the modification of the refractive index of the core section was performed by using the apparatus 33 for modifying the refractive index was 0.05 dB (1%) which was scarcely changed from that before the modification.

[0065]

#### Comparative Example 10

The modification of a refractive index was conducted by using an apparatus for modifying a refractive index of Comparative Example 10 for comparing the results thereof with those of Example 10. The apparatus for modifying the refractive index of Comparative Example 10 has the same configuration as that of the apparatus for modifying the refractive index of Example 10 except that the movable stage section 29, the pulse laser apparatus section 30 and the ray-gathering section 31 are not fixed to the chamber 32.

The modification of the refractive index was attempted by using the apparatus for modifying the refractive index of Comparative Example 10 under the conditions the same as those of Example 10. The vibration of the laser beams was generated, and the modified portion was deviated when the laser beam was scanned. The ray propagation loss of the optical wave-guide after the modification of the refractive index was 0.2 dB (about 5 %) which was five time that of Example 5.

[0066]

#### Example 11

Example 11 is a concrete example of the apparatus for modifying the refractive index described in claim 11. Fig.11 is a schematic view showing configuration of a Mach-Zender-type interference filter 34 including a clad

section having a silica glass substrate 11 and a core section 2 made of silica glass having a width of  $7\mu\text{m}$  doped with  $\text{GeO}_2$ .

The interference filter 34 includes a plurality of interferometers, and rays propagating on a single fiber and having wavelengths at which the strengths are increased by each of the interferometers are branched to be output to each of the optical wave-guides.

The optical path lengths of each of the interferometers were adjusted such that rays having specified wavelengths were interfered by bonding optical fibers 35 to the input and output surfaces of the optical wave-guide device in advance and modifying the refractive index of the core section of each of the interferometers by using the apparatus 33 for modifying the refractive index 33.

[0067]

During the adjustment of the optical path length, 11 kinds of the rays emitted from a multi-wavelength light source 36 and having wavelengths between  $1.550$  and  $1.558\mu\text{m}$  and an interval of  $0.8\text{ nm}$  were input to the interferometers 34 through optical fibers. Laser rays were irradiated while signals rays output from each of the branched interferometers were monitored by using a spectrum analyzer 37.

The system was configured by feed-backing the signal of the spectrum analyzer to the shutter of the pulse laser apparatus section 30 of the apparatus 33 for modifying the refractive index such that when the output strength of the monitored signal ray reached to the maximum value, the scanning and the irradiation of the laser rays were automatically finished.

The irradiation conditions were the same as those of Example 1, and the laser rays 3 having a pulse width of  $150\text{ femto-seconds}$  were scanned at pulse energy of  $0.05\mu\text{m}$  along the core section at a scanning speed of  $1\text{mm/s}$ .

As a result, the refractive index could be modified to the optimum value within 3 seconds for each of the optical wave-guides, and the ray



having the specified wavelength could be output from the branched optical wave-guide. The oil-matched bonding between the optical wave-guide of the output side and the optical fiber could easily make a bonding with an optical wave-guide succeedingly evaluated. A period of time required for modifying the refractive indices of all the 11 interferometers to the optimum values was about 5 minutes. The oil matching refers to the bonding in which the space between the fiber and the optical wave-guide is filled with oil having a refractive index substantially same as that of glass, thereby removing a loss.

The optical wave-guide loss was estimated from the sum of the strengths of the rays output from the branched optical wave-guides. The value was 0.1 dB (about 2%) or less, and the optical wave-guide loss was small.

[0068]

#### Comparative Example 11

The modification of a refractive index was conducted, for comparing the results thereof with those of Example 11, by modifying the refractive index without guiding the signal rays under the same conditions as those of Example 11 and thereafter connecting optical fibers for evaluating the characteristics of each of the interferometers.

In Comparative Example 11, about 10 minutes were required for each of the interferometers because not less than two modifications were necessary for adjusting one interferometer, and about 100 minutes were required for modifying the refractive indices while evaluating the characteristics of all the optical wave-guides.

The comparison between Example 11 and Comparison Example 11 emphasizes the advantage of modifying the refractive index while guiding the signal rays.

[0069]

### Example 12

Example 12 is a concrete example of the apparatus for modifying the refractive index described in claim 12. Fig.12 is a perspective view showing configuration of an optical wave-guide device including a polymer thin film 38 made of polymethylmethacrylate (PMMA) having a refractive index of 1.500 formed on a silica glass substrate 1, and a core section 39, formed in the polymer thin film 38, having 7x7 $\mu$ m of an optical wave-guide made of a polymer of a PMMA derivative having a refractive index larger than that of the above polymer thin film 38 by 0.001.

The laser rays having a pulse width of 150 femto-seconds were irradiated to the core section similarly to Example 1, and the scanning was conducted for a length of 1 mm at a scanning speed of 1 mm/s. The pulse energy was 0.02 $\mu$ J.

As a result, the density of the polymer of the core section was increased due to the change of the polymerization rate, and the change of the refractive index per unit scanning distance was 0.0015 mm. In this manner, the refractive index could be modified.

[0070]

### Example 13

Example 13 is a concrete example of the apparatus for modifying the refractive index described in claim 13. Fig.13 is a perspective view showing configuration of an optical wave-guide device including a polymer thin film 41 having a thickness of 20 $\mu$ m formed on a silicon substrate 40, and a core section 2, formed in the polymer thin film 41, having 7x7 $\mu$ m of silica glass doped with GeO<sub>2</sub>.

The refractive index modification could be conducted similarly to Example 1 by focusing and scanning ultra short pulse laser rays 3 to the core section 2 of the optical wave-guide by using an objective lens of 100 magnifications.

The refractive index of the core section could be modified without damaging the silicon substrate provided that the core section was formed at the position higher than  $5\mu\text{m}$  from the silicon substrate. The same relation as that of Fig.5 was obtained between the scanning distance or the number of the scanning and the change of the optical path length.

[0071]

Example 14

Example 14 is a concrete example of the apparatus for modifying the refractive index described in claim 14. Fig.14 is a perspective view showing configuration of an optical wave-guide device 42 for dividing a wavelength including a silica glass thin film 41 having a thickness of about  $20\mu\text{m}$  formed on silicon shown in Fig. 13, and a core section 2, formed in the silica glass thin film 41, having a width of  $7\mu\text{m}$  made of silica glass doped with  $\text{GeO}_2$ .

In the optical wave-guide device 42, an output 44 was obtained which was divided into rays having the respective wavelengths at an interval of  $0.4\text{ nm}$  among rays 43 having multi-wavelengths from  $1.550\mu\text{m}$  to  $1.554\mu\text{m}$  transmitting in a single fiber. The interval between the adjacent optical wave-guides at the broadest was quite narrow, that is,  $20\mu\text{m}$ .

[0072]

The modification of the refractive index was conducted by using the laser rays having the same conditions as those of Example 1 and the apparatus 33 for modifying the refractive index having the feed-back function such that the ray having the specified wavelength from each of the branched optical wave-lengths had the maximum output by means of monitoring the permeated rays. Even when the optical wave-guide interval was  $20\mu\text{m}$  or less, the dimensions of the region 45 where the refractive index

changed could be adjusted such that the diameter of the focused beam was modified to  $7\mu\text{m}$  the same as that of the optical wave-length by using an objective lens of 100 magnifications.

As a result, the refractive indices of each of the optical wave-guides could be adjusted to those for providing the specified performance without providing an influence to other optical wave-guides.

[0073]

#### Example 15

Example 15 is a concrete example of the apparatus for modifying the refractive index described in claim 15. As described in the prior art, the optical wave-guide formed by scanning the ultra short pulse laser rays in the glass is not required to be doped with  $\text{GeO}_2$  in the glass. Fig.15 is a perspective view showing configuration of the optical wave-guide device formed in the silica glass in accordance with the above process.

An experiment similar to that of Example 1 was attempted in order to modify a refractive index of a core section 46 of an optical wave-guide directly depicted by the ultra short pulse laser rays. The refractive index could be modified similarly to the case where the silica glass doped with the  $\text{GeO}_2$  was used.

The volume matching between the refractive index modification of the core section directly depicted by the ultra short pulse laser rays and the portion where the refractive index were quite excellent, and the loss of the guided rays due to the refractive index modification was hardly observed. The "volume matching" refers to a degree what an extent the sectional shape of the optical wave-guide and that of the region where the refractive index changes are matched.

[0074]

#### Example 16

Example 16 is a concrete example of the apparatus for modifying the refractive index described in claim 16. Fig.16 is a sectional view showing an optical wave-guide device including a silica glass thin film 41 formed on a silicon substrate 40, and a core section 2, formed in the silica glass thin film 41, having a geometrical element length of 20 mm doped with  $\text{GeO}_2$ . The core section was  $5\mu\text{m}$  square.

As shown in Figs.3 and 4, the region where the refractive index changes by using the ultra short pulse laser 3 can be changed to a region including part of the core section and the periphery of the core section by adjusting the focusing lens and the input laser power.

[0075]

As shown in Fig.16, the length of 10 mm along the optical wave-guide from the end surface where the signal rays were input or output was scanned at the scanning speed of 1 mm/s and using the laser rays the same as those of Example as the light source while the average power of the laser rays focused by an objective lens of 50 magnifications and irradiated was changed from 30 mW to 10 mW.

[0076]

As a result, as shown in Fig.16, the refractive index of the core section and the region around the core section was modified. The core diameter of the input and output surface was  $8\mu\text{m}$  and that of the end of the region where the refractive index was modified was about  $5\mu\text{m}$ . These were substantially same as that of the core diameter of the optical wave-guide.

The ray propagation loss of the optical wave-guide was measured to be 0.1 dB (about 2 %) or less after the optical fiber having a core size of  $7\mu\text{m}$  was connected to a the refractive index modified portion 4 acting as a spot size converting optical wave-guide 47.

The optical fiber and the optical wave-guide having the different core

diameters could be bonded without a loss by modifying the core section of the input and output surface to the tapered surface.

[0077]

#### 5 Example 17

Example 17 is a concrete example of the apparatus for modifying the refractive index described in claim 17. Fig.17 is a top plan view showing a T-shaped branched optical wave-guide device using grating of holes in which a core section 2 of an optical wave-guide doped with  $\text{GeO}_2$  is formed in silica  
10 glass thin film 41 formed on a silicon substrate, and an enlarged perspective view shows a portion where a refractive index is modified.

In the present Example, an oval or cylindrical hole having a diameter of 250 nm and a length of  $7\mu\text{m}$  was formed by focusing, to a T-shaped branch, the laser rays of 400 nm and 1 kHz used in Example 8 by using an objective  
15 lens of 20 magnifications. The holes are, as shown in the bottom part of Fig.17, formed to satisfy the Bragg's equation ( $\lambda = 2 d \sin \theta$ ).

The wavelength( $\lambda$ ) in the core section was  $\lambda/n$ , and "n" is 1.475 which is the refractive index of the core section. If the input wavelengths  $\lambda_1$  and  $\lambda_2$  are presumed to be  $1.550\mu\text{m}$  and  $1.300\mu\text{m}$ , respectively, a constant "d" for  
20 diffracting only the ray having the wavelength of  $1.550\mu\text{m}$  is calculated by using a below equation (2).

$$d = \lambda / (n \cdot 2 \sin 45^\circ) = 743 \text{ nm} \quad (2)$$

[0078]

25 Then, the distance "d" shown in the lower drawing in Fig.17 was established to be 743 nm, and the bar-like holes were arranged in an interval of 500 nm. When the rays having the above two wavelengths were input, 15 % of the signal ray of  $1.550\mu\text{m}$  was diffracted to a vertical direction by the grating and came out from the branched optical wave-guide. On the  
30 other hand, the permeation loss of the ray having the wavelength of

1.300 $\mu$ m was within 1 %.

By adjusting the interval of "d" to 623 nm, the ray having the wavelength of 1.300 $\mu$ m could be diffracted to a vertical direction and output from the branched optical wave-guide, and the diffraction efficiency was  
5 15 %.

[0079]

Fig.18 is a side elevation view showing a section of optical wave-guide having a core section 2 doped with GeO<sub>2</sub> in a silica glass thin film 41 on a  
10 silicon substrate 40. The laser rays 3 used for forming the grating in Fig.17 were diagonally irradiated on the core section, thereby forming holes slanted at 45°. The interval of the holes was established such that the ray having the wavelength of 1.550 $\mu$ m was diffracted.

When the rays having the wavelengths of 1.550 $\mu$ m and 1.300 $\mu$ m were  
15 transmitted, 10 % of the ray of 1.550 $\mu$ m was upward diffracted from the core section 2, permeated the clad section and came out from the surface of the optical wave-guide device. On the other hand, in connection with the signal ray of 1.300 $\mu$ m, 1 % or less thereof was upward diffracted from the core section 2, permeated the clad section and came out from the surface of the  
20 optical wave-guide device.

[0080]

[Effects of the Invention]

In accordance with the method of the present invention, the refractive  
25 index of the core section for guiding the rays of the wave-guide device can be modified with higher accuracy, thereby providing the wave-guide device with higher reliability and higher performance.

The optical wave-guide device of which the refractive index is modified in accordance with the present invention can be applied to the optical  
30 telecommunication system, thereby realizing the high-speed

telecommunication with the higher capacity and the higher reliability to significantly contribute the development of the information and telecommunication industry.

5

[Brief Description of Drawings]

[Fig.1]

A perspective view showing an embedded optical wave-guide for illustrating a method for modifying the refractive index of a core section of an optical wave-guide device by using ultra short pulse laser rays.

10

[Fig.2]

A diagram showing band-gaps of silica glass and silica glass doped with  $\text{GeO}_2$ , and the absorption of rays having the respective wavelengths.

[Fig.3]

15

A sectional view of the optical wave-guide device showing the region in which the wavelength of the ultra short pulse laser ray and the refractive index are changed.

[Fig.4]

A sectional view of the optical wave-guide device showing the modification of the refractive index of the region including the periphery of the core section.

20

[Fig.5]

A graph showing the changes of the optical path lengths by the scanning distance and the number of the scanning of the ultra short pulse laser rays when the modification is conducted under the conditions of Example 1.

25

[Fig.6]

A sectional view of the optical wave-guide device 16 of three stacked layers showing the modification of the refractive index of core section of the lower layer of the optical wave-guide device three-dimensionally formed.

30



[Fig.7]

A schematic top plan view of the optical wave-guide showing the core section of the optical wave-guide in which the refractive index is changed.

[Fig.8]

5 A schematic view showing the core section having the spherical hole and the core section having the oval hole in the left-hand side and the right-hand side, respectively, for describing the modification of the refractive index by the holes.

[Fig.9]

10 A band-gap diagram for illustrating the formation of a defective band for describing the relaxation of color center by thermal treatment.

[Fig.10]

A schematic view showing the apparatus including integrated laser emitting section, focused portion and a specimen stage for modifying the refractive index of the wave-guide.

[Fig.11]

A schematic view showing configuration of an apparatus of modifying refractive index feed-backing the transmitted optical strength of the wave-guide device to the irradiation parameter of ultra short laser rays.

20 [Fig.12]

A perspective view showing the optical wave-guide device in which the refractive index of the core section of the wave-guide in polymer resin formed on silica glass is modified with the ultra short pulse laser rays.

[Fig.13]

25 A perspective view showing the optical wave-guide device in which the refractive index of the core section of the wave-guide doped with Ge in silica glass formed on a silicon substrate is modified with the ultra short pulse laser rays.

[Fig.14]

30 A schematic top plan view showing the optical wave-guide device in

which the refractive indices of the core section having a pitch of  $20\ \mu\text{m}$  or less are individually modified by using the ultra short pulse laser rays..

[Fig.15]

A perspective view showing the optical wave-guide device in which the  
5 refractive indices of the core section of the optical wave-guide directly depicted are modified.

[Fig.16]

A schematic sectional view showing the optical wave-guide device having a tapered portion at the input and output portion of the core section.

10 [Fig.17]

A top plan view showing a T-shaped branched optical wave-guide device using grating of holes and an enlarged perspective view showing a portion where a refractive index is modified.

[Fig.18]

15 A sectional view showing the optical wave-guide device using grating of holes in which a reflection occurs in the top part thereof.

#### [Description of Symbols]

- 1 silica glass substrate
- 20 2 core section of wave-guide
- 3 ultra short pulse laser
- 4 objective lens
- 5 refractive index-modified section
- 6 bandgap of silica glass
- 25 7 bandgap of  $\text{GeO}_2$ -doped glass
- 8 defective band of silica glass
- 9 valence band
- 10 optical path of ultra short pulse laser
- 11 ultra short pulse laser rays having wavelength of 800nm focused by
- 30 using an objective lens with 50 magnifications

- 12 ultra short pulse laser rays having wavelength of 800nm focused by  
using an objective lens with 100 magnifications
- 13 ultra short pulse laser rays having wavelength of 266nm focused by  
using an objective lens with 50 magnifications
- 5 14 absorption region of laser rays
- 15 ultra short pulse laser rays having wavelength of 800nm focused by  
using an objective lens with 20 magnifications
- 16 three-layered wave-guide device
- 17 core section of wave-guide of which refractive index is changed by  
10 higher density
- 18 ultra short pulse laser rays having wavelength of 400nm focused by  
using an objective lens with 100 magnifications
- 19 spherical hole
- 20 ultra short pulse laser rays having wavelength of 400nm focused by  
15 using an objective lens with 20 magnifications
- 21 oval hole
- 22 band-gap of core material
- 23 defective band generated by ultra short pulse laser irradiation

[Document Name] Abstract

[Object] A method for modifying a refractive index is provided which has device characteristics by modifying the refractive index of a core section of a wave-guide device with higher accuracy, and has long-term reliability.

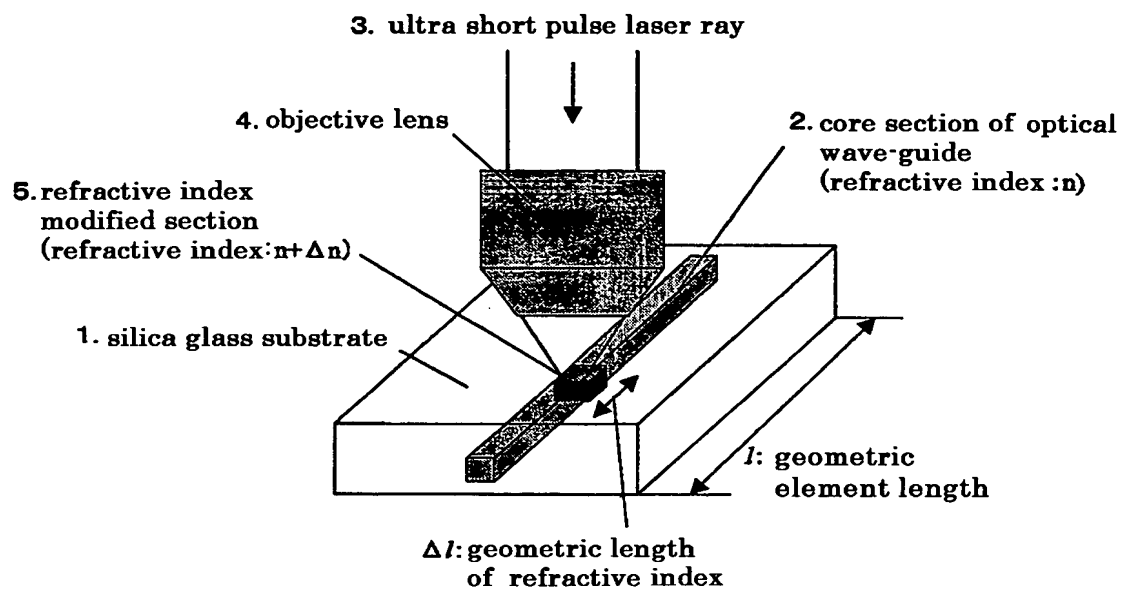
5 [Solving Means] In the method for modifying the refractive index of the core section of the wave-guide device of the present invention, ultra short pulse laser rays having a pulse width not more than 30 pico-seconds are irradiated to a core section 2 of a wave-guide formed on a silica glass substrate 1 to change a refractive index of the core section, thereby forming  
10 a core section 5 having the modified refractive index. Suitably, the photon energy of the irradiated ultra short pulse laser rays is lower than half of band-gap energy of a material of the clad section forming the wave-guide device.

[Selected Drawing] Fig.1

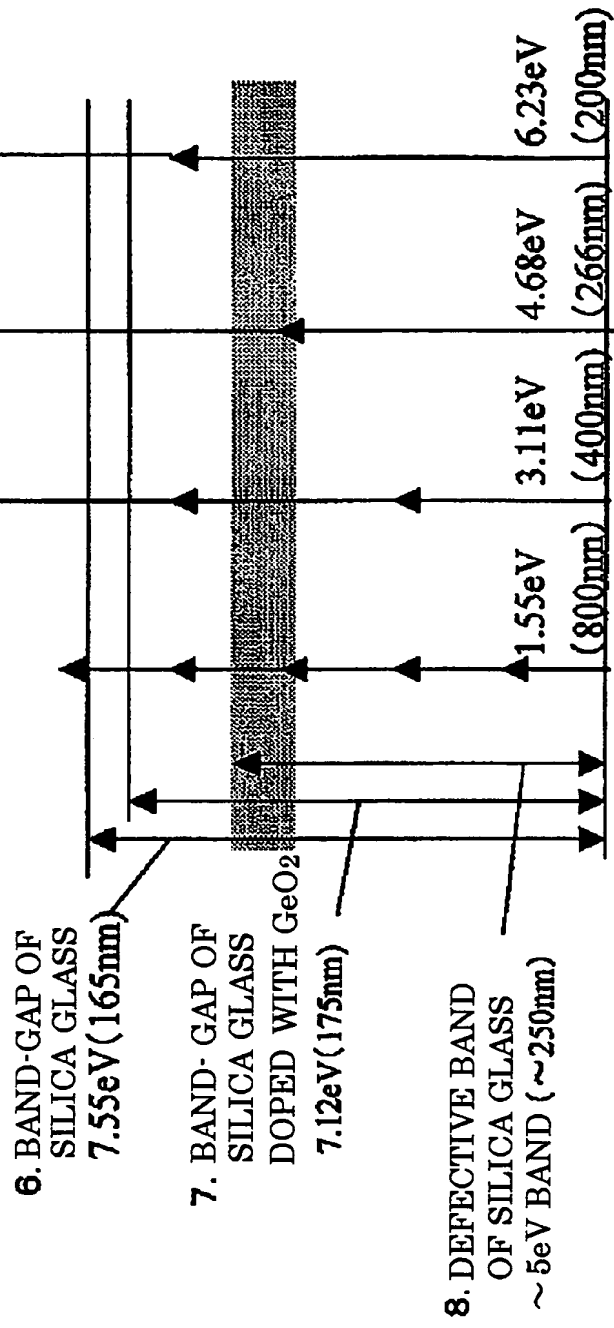
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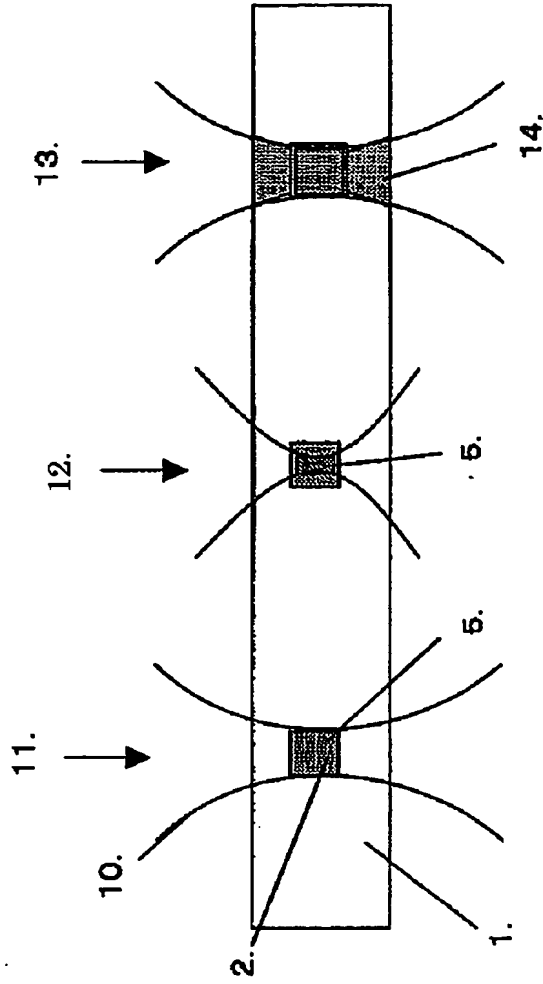
[FIG.1]



[FIG. 2]



[FIG.3]

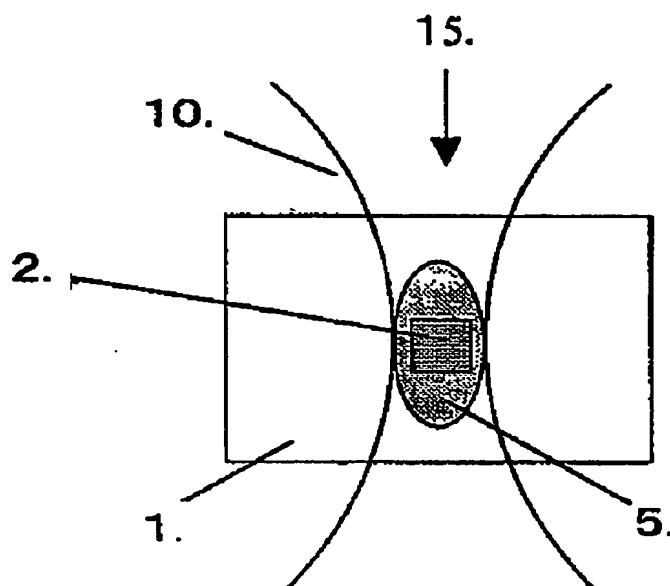


1. silica glass substrate
2. core section of optical wave-guide
5. refractive index modified section
10. optical path of ultra short pulse laser
11. ultra short pulse laser rays having wavelength of 800nm focused by using objective lens of 50 magnifications.
12. ultra short pulse laser rays having wavelength of 800nm focused by using objective lens of 100 magnifications.
13. ultra short pulse laser rays having wavelength of 266nm focused by using objective lens of 50 magnifications.
14. absorbed region of laser rays



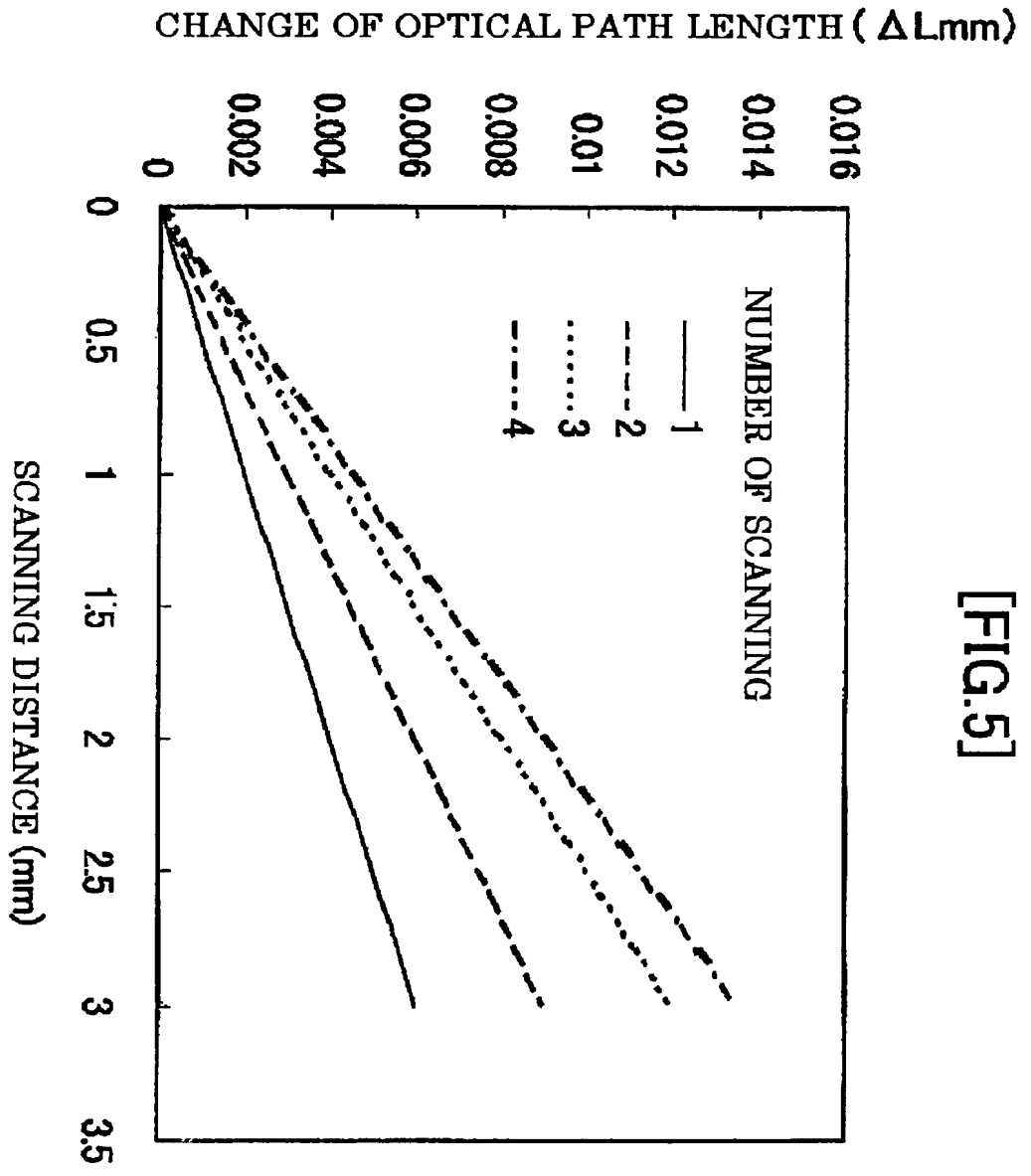


[FIG.4]



- 1. silica glass substrate
- 2. core section of optical wave-guide
- 5.refractive index modified section
- 10.optical path of ultra short pulse laser
- 15. ultra short pulse laser rays having wavelength of 800nm focused by using objective lens of 20 magnifications.

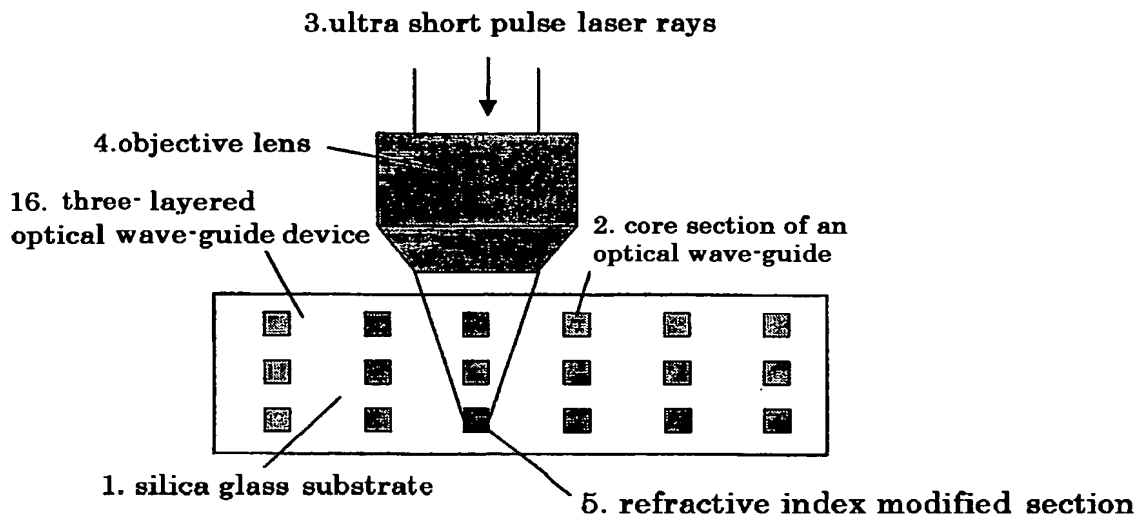




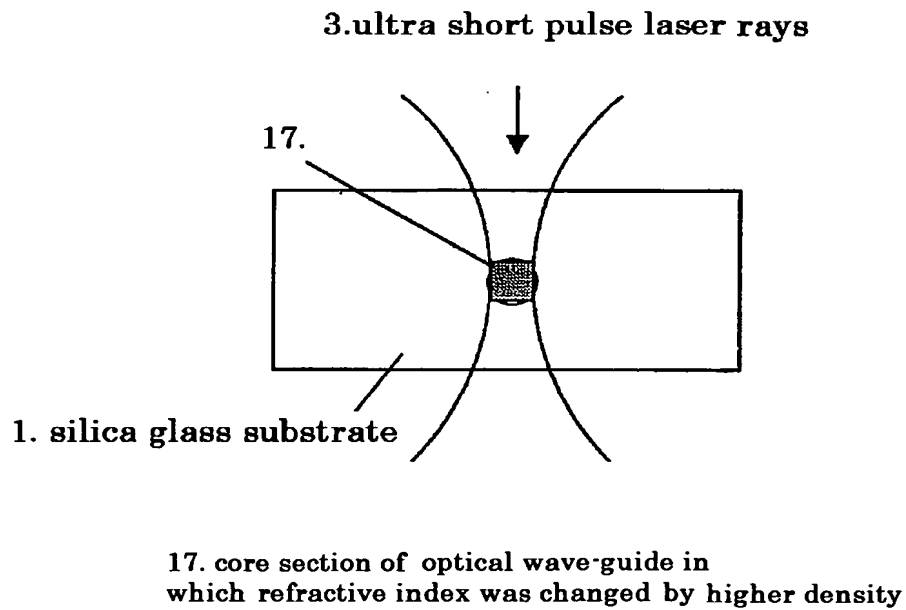
[FIG.5]



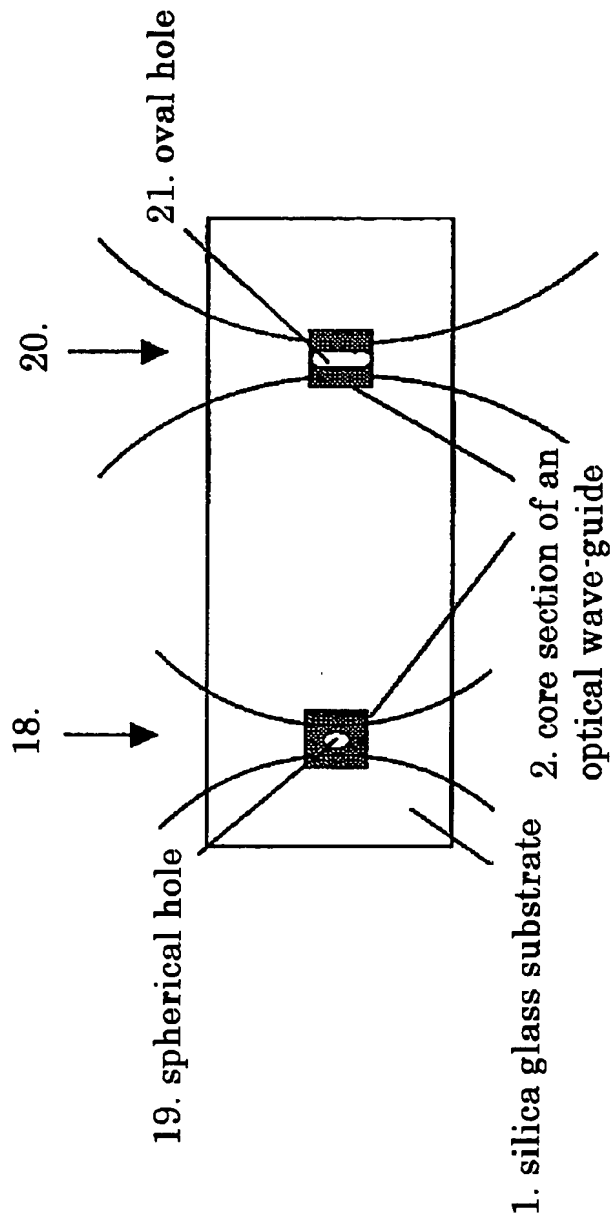
[FIG.6]



[FIG.7]



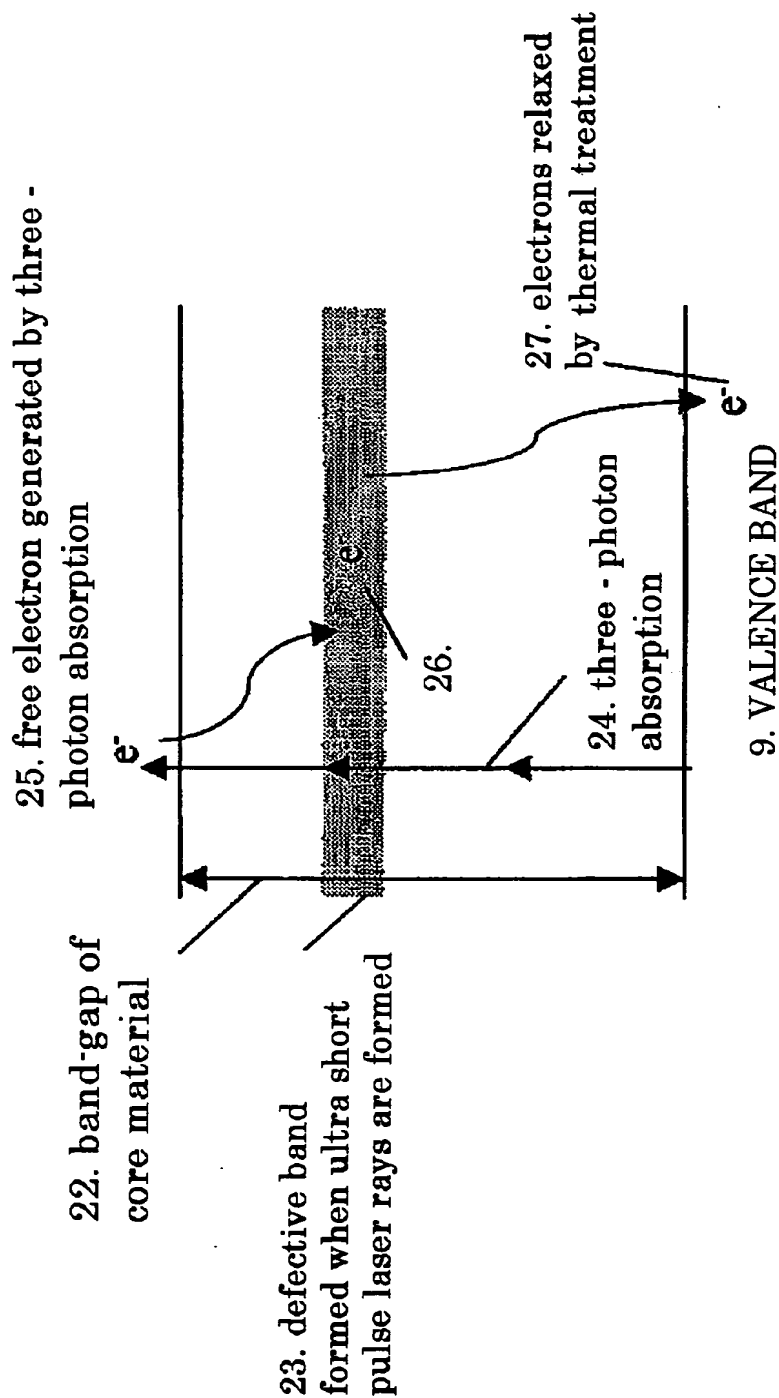
[FIG.8]



- 18. ultra short pulse laser rays having wavelength of 400nm focused by using objective of 100 magnifications.
- 20. ultra short pulse laser rays having wavelength of 400nm focused by using objective of 20 magnifications.



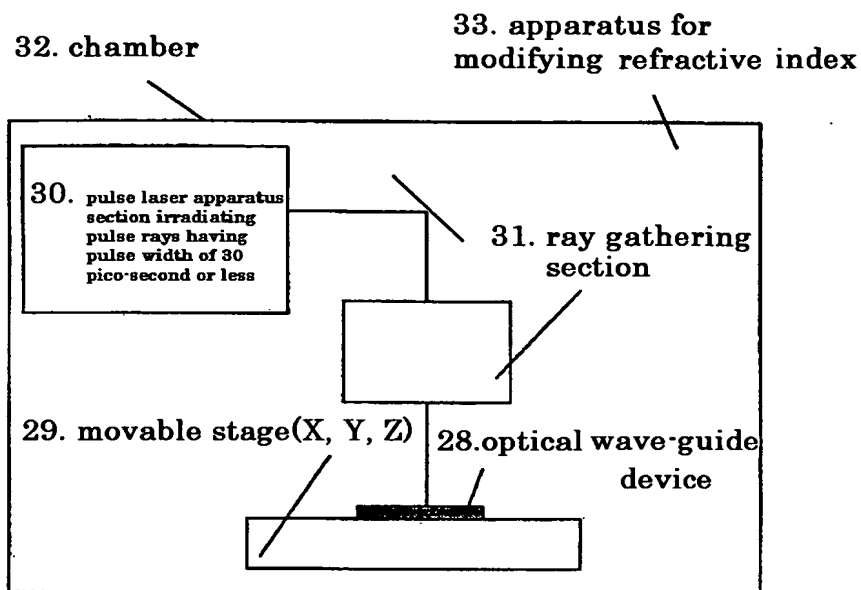
[FIG.9]



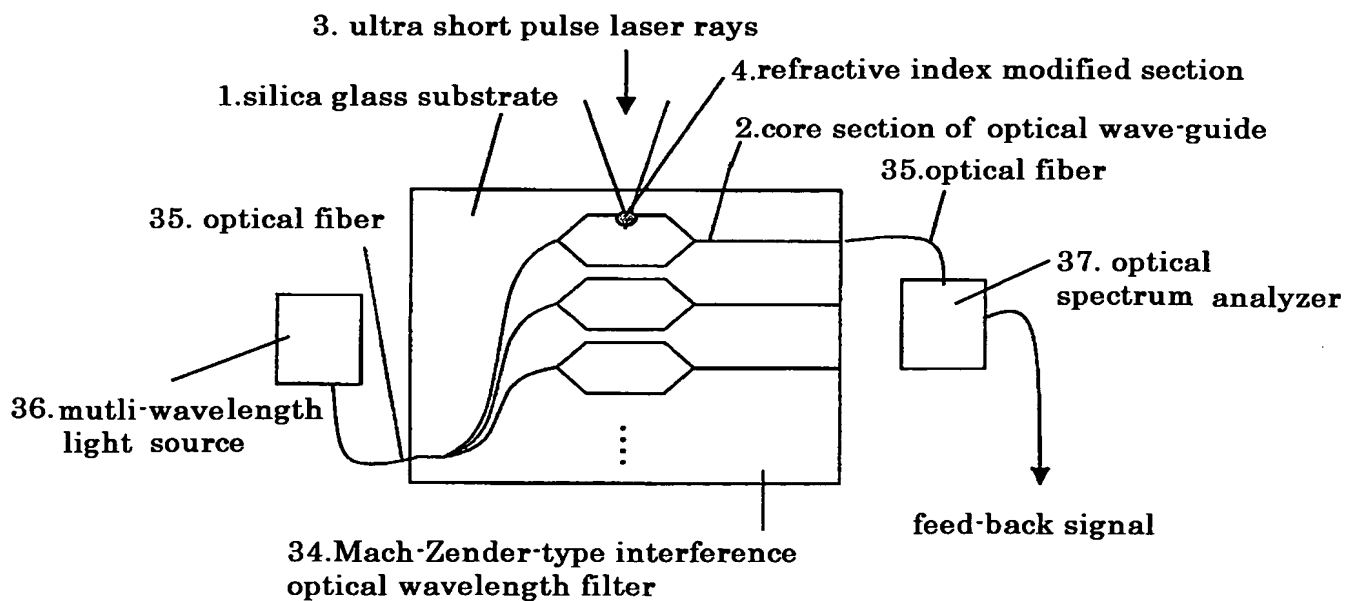
26. electron trapped in defective



**[FIG.10]**

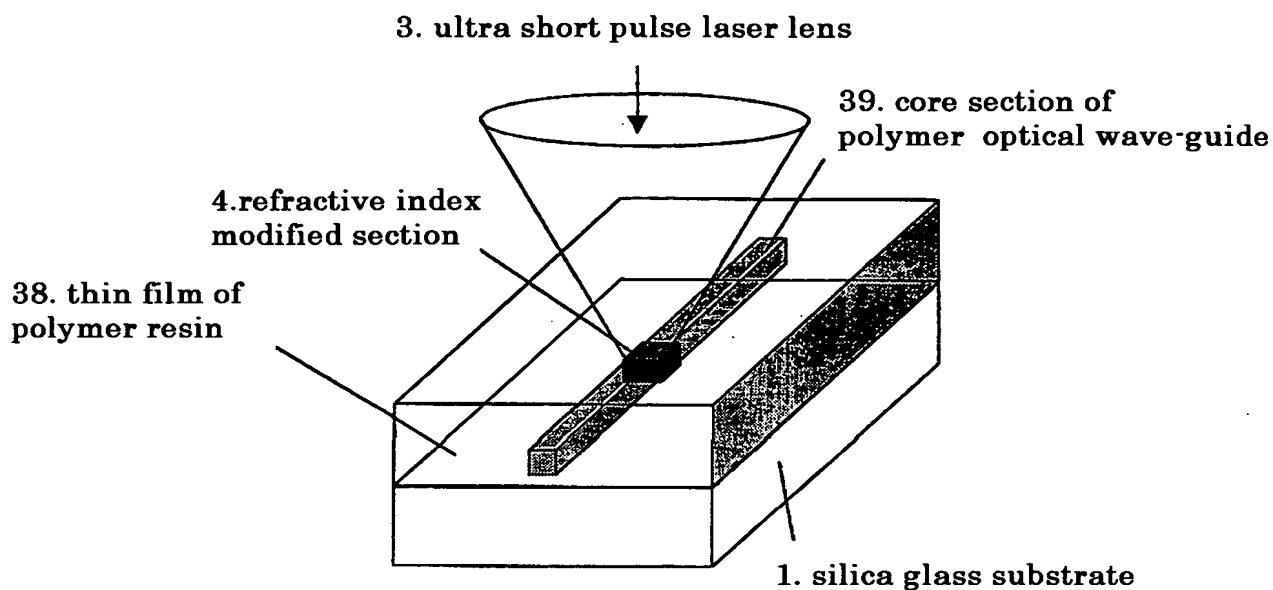


**[FIG.11]**

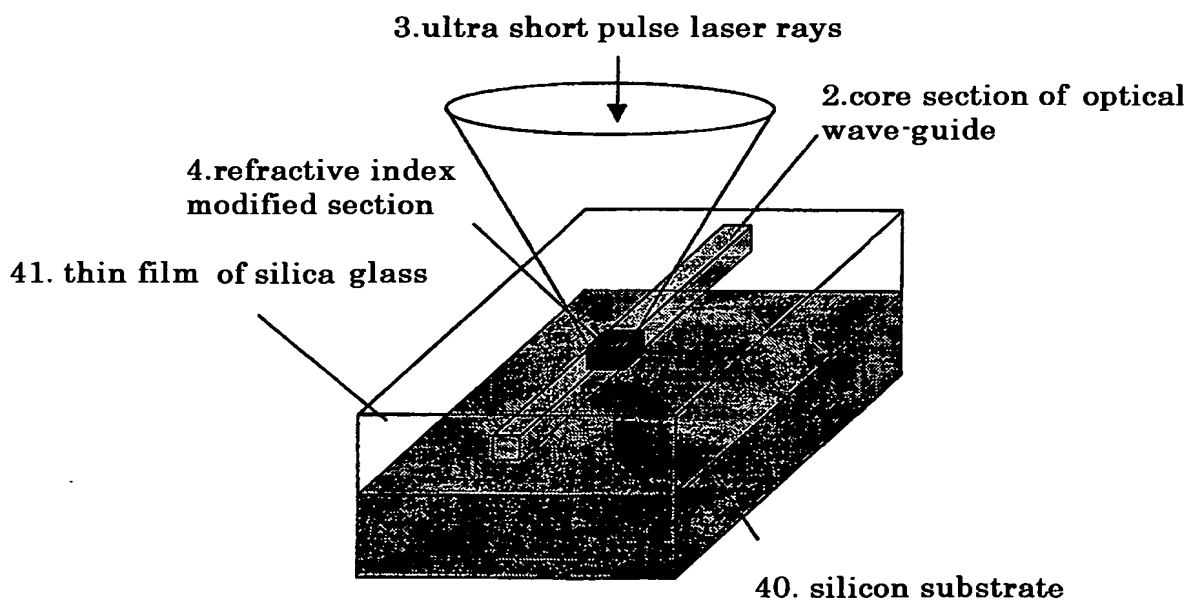




[FIG.12]

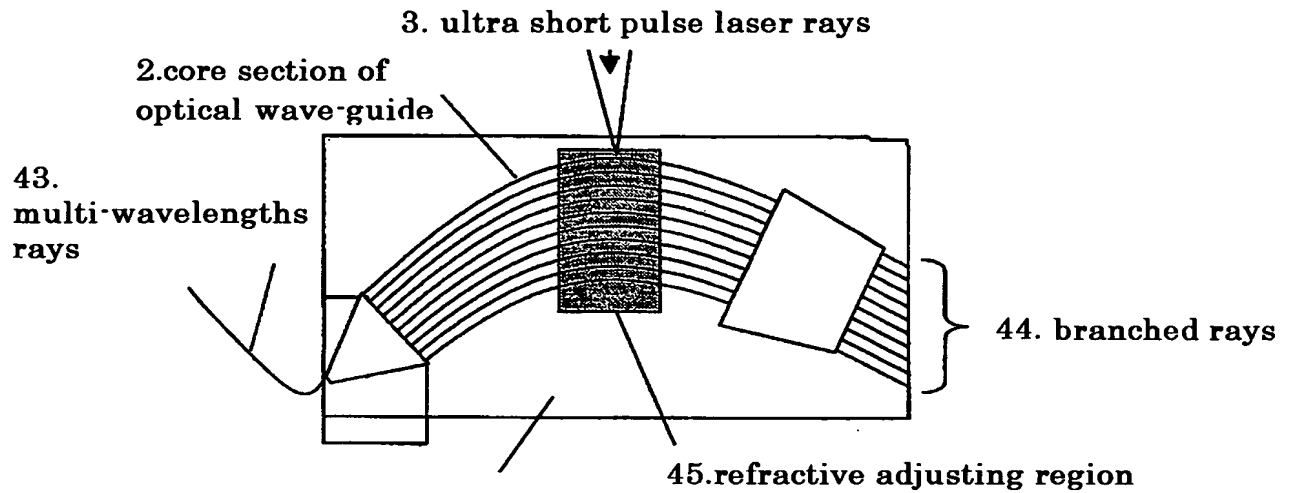


[FIG.13]

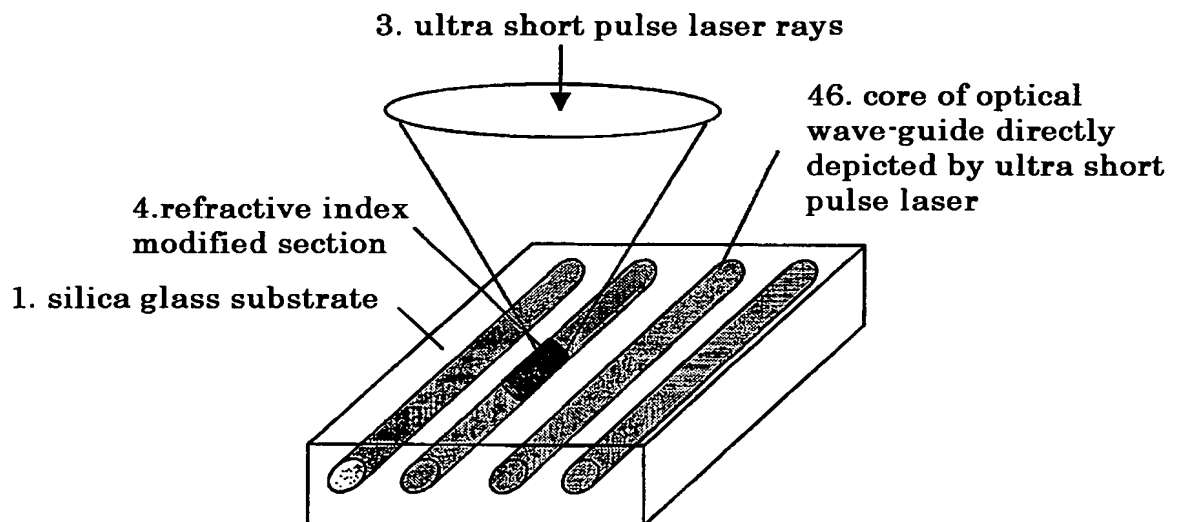




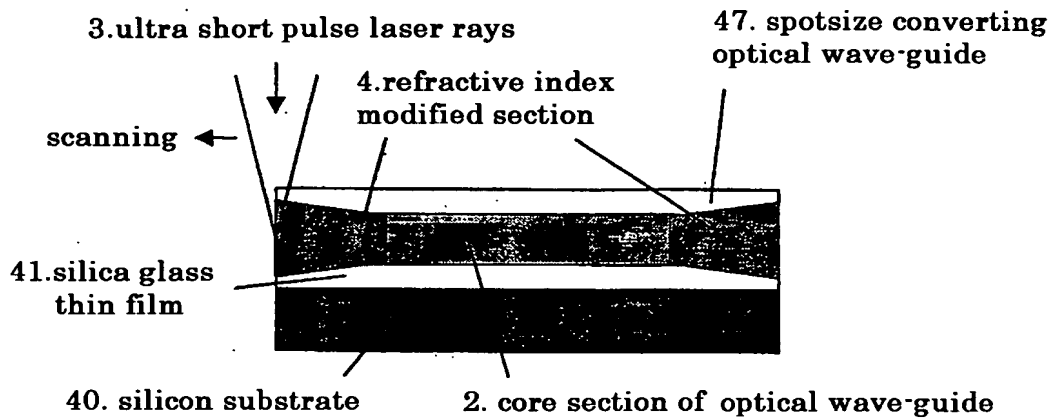
[FIG.14]



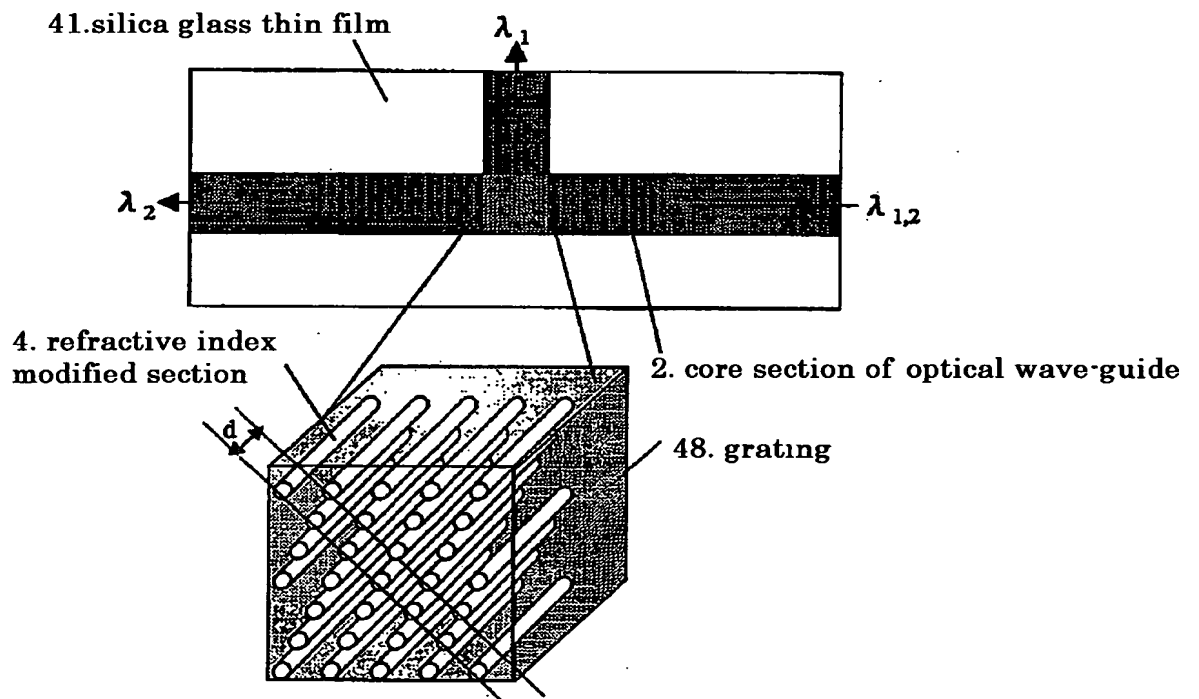
[FIG.15]



[FIG.16]



[FIG.17]







[FIG.18]

